POLI 2053-section 4, Fall 2015 10:30 – 11:50 am Tuesday and Thursday, 116 Stubbs Hall

# **Introduction to Comparative Politics**

*Politics is the art of the possible.* Otto von Bismarck (11 August 1867)

Professor Wonik Kim, wkim@lsu.edu Office Hours: 12:20 – 1:20 pm Tuesday and Thursday, or by appointment Office: 229 Stubbs Hall, Department of Political Science

In this <u>General Education</u> course, we study the fundamentals of politics. Understanding politics is a sine qua non of leading a good life, for politics affects our *quotidien* – everyday life. As such, political science is a "master science," in the words of Aristotle, on which all arenas of human activity depend, and from which all other practical sciences take their cue. Broadly speaking, studying politics necessarily involves comparison – for example, comparing countries (China and the United States), systems (democracy and dictatorship; universal and selective social welfare), ideologies (conservatism, liberalism, and socialism), and time periods (the 19<sup>th</sup> century and 20<sup>th</sup> century; the Cold War era and post-Cold War era). Hence, comparative politics is the most important subfield in political science, which requires critical thinking and rigorous analytical skills. We will learn why we compare, what to compare, and how to compare.

The main purpose of this course is to equip students with solid understanding of 'big issues' in politics around the world. As an introductory course, this class has three major goals: 1) to understand various theories, approaches, and empirics widely studied in the comparative study of politics, 2) to provide an analytical and comparative framework to understand 'big issues' in the world, and 3) to provide a broad perspective about the world we live in and the ability to relate some of our own interests to existing concerns of other scholars. The course is organized around questions that reflect both interesting phenomena and puzzles that call for explanations. We will examine some of the answers to these questions that have been proposed on the basis of comparative research. In this way, we will cover the areas in which research in political science is the most active and has contributed most significantly to the production of knowledge. To do so, this course is divided into four parts.

The first part entitled "Power, Ideology, and the Capital-Nation-State" constitutes the foundations of comparative politics. We will conceptualize politics, discuss competing ideologies, and understand the modern nation-state: its meaning and the historical formation. Some ontological and methodological issues will be discussed between the first and second parts. The second part "Democracies, Dictatorships, and People" will deal with the emergence and demise of political regimes (democracies and dictatorships), by focusing on various features of democratization, and by comparing top-down (elite-driven) and bottom-up (mass-driven) processes of democratic transitions. In the third part "Democratic Institutional Design" we will discuss the institutional design under democracy, by comparing different electoral rules (majoritarian and proportional), executive-legislative relationships (parliamentary and presidential), and different party systems (two-party and multiparty). The final part "Socioeconomic Policies under Globalization" will investigate why and how socioeconomic policies differ across countries and their divergent consequences. Attention should be paid to varieties of welfare capitalism. Economic "miracles" and "disasters" will be examined as well. We will also study the impacts of globalization on domestic politics.

#### READINGS

Each student is expected to read (before class) all the assigned readings for each session. The required readings are based on two sources. The first source is the course textbook. The textbook provides a background to understand topics and lectures:

Shively, W. Phillips. 2014. Power & Choice: An Introduction to Political Science. 14th Edition. New York: McGraw-Hills.

The second source is readings from journal articles to book chapters. These readings are also essential to understanding lectures. These readings are posted as pdf files on Moodle. Please make sure that all of you have a LSU email account so that you have an access to Moodle through your PAWS desktop.

#### REQUIREMENTS

Basically, classes will involve lectures of the reading material assigned for each session. But, I will introduce and explain some concepts, issues and theories that are not in the readings, so <u>attendance is crucial</u>. This means that a significant amount of material on the examinations will come from class lectures presenting information not discussed directly in the textbook and other readings. Participation from students is important and will count toward the final grade. At the end of each week, I will distribute memos (posted on Moodle) that contain important points of my lectures. *N.B.* Some materials and lectures might be difficult, so <u>ask questions</u> whenever necessary. In addition, feel free to stop my lecture and ask, to e-mail me, and to stop by my office. The general philosophy is that students who are eager to learn should be rewarded.

Final grades are based on three in-class examinations (two midterm and one final tests) and attendance/participation for a total of 100 points. The exams constitute two parts. The first part is a set of "identification" questions that ask important concepts, events, and proper names; students should identify what they are in one or two sentences. The second part is a set of "essay questions" that ask to explain some political phenomena and competing theories; students' answers should be in four to six sentences.

1) First mid-term examination (25%) - Thursday, September 24, 10:30 - 11:50 am

2) Second mid-term examination (25%) – Tuesday, October 27, 10:30 – 11:50 am

2) Final examination (30%) - Monday, December 7, 10:00 - noon

3) Attendance and participation (20%)

#### **GRADING SCALE**

A+	$100 \sim 97$	B+	$89 \sim 87$	C+	$79 \sim 77$	D+	$69 \sim 67$	F	$59 \sim 0$
А	96 ~ 93	В	86 ~ 83	С	76 ~ 73	D	66 ~ 63		
A-	<b>92</b> ~ <b>9</b> 0	B-	$82 \sim 80$	C-	$72 \sim 70$	D-	$62 \sim 60$		

#### **IMPORTANT DATES – NO CLASS**

Conference	October 8, Thursday	No class
Fall Break	October 29, Thursday	No class
Thanksgiving	November 26, Thursday	No class

# SCHEDULE/OUTLINE OF TOPICS

(subject to change)

1	Aug.	25-27	Introduction and Preliminaries
2	Sept.	1-3	What Is Politics?
3	Sept.	8-10	What Do We Believe, and Why?
4-5	Sept.	15-17-22	Where Does the State Come from, and Where Will It Go?
5	Sept.	24	First Midterm Examination, 10:00 – 11:50 am

#### **INTERMEZZO**

6	Sept/Oct	29-1	Three Research Traditions and Methods of Comparison

# **II. DEMOCRACIES, DICTATORSHIPS, AND PEOPLE**

7	Oct.	6	What Is Democracy? Which Countries Are Democratic?
7	Oct.	8	Conference – No Class Meeting
8-9	Oct.	13-15-20	Why and How Do Democracies Emerge and Endure?
9	Oct.	22	Does Culture Matter for Democracy?
10	Oct.	27	Second Midterm Examination, 10:00 – 11:50 am
10	Oct.	29	Fall Break – No Class Meeting

# **III. DEMOCRATIC INSTITUTIONAL DESIGN**

11	Nov.	3	How Are the Elections Organized?
11-2	Nov.	5-10	Where Do Parties Come from? Are They Indispensable?
12-3	Nov.	12-17	How Are Governments Formed in a Parliamentary Democracy?

# IV. SOCIOECONOMIC POLICIES UNDER GLOBALIZATION

13-4	Nov.	19-24	What Do Governments Do for Their People?
14	Nov.	26	Thanksgiving – No Class Meeting
15	Dec.	1	Why Are There "Miracles" and "Disasters"?
15	Dec.	3	Is Globalization Inevitable? Conclusion
16	Dec.	7	Final Examination, 10:00 am – noon

#### **READING ASSIGNMENTS AND SPECIFIC TOPICS**

(subject to change)

### PART I: POWER, IDEOLOGY, AND THE CAPITAL-NATION-STATE

#### Week 1 (08/25, 08/27) Preliminaries

Introduction. Why is politics so important? Why compare? Causality, comparisons, and counterfactuals. Theory and empirics. Role of history. Analytical framework. Political science and comparative politics.

No require reading.

#### Week 2 (09/01, 09/03) What Is Politics?

Concept of the political. Collectivities vs. individuals. Conceptions of power – four faces. Choice under constraints. Why comply? Sources of legitimacy.

Shively, Chapter 1.

#### Week 3 (09/08, 09/10) What Do We Believe, and Why?

Three classical blueprints: conservatism, liberalism, and socialism. Marxism vs. social democracy. Liberalism vs. libertarianism. Communitarianism vs fascism. Polanyi's thesis. Neoliberalism. Alternative?

Shively, Chapter 2.

#### Week 4-5 (09/15, 09/17, 09/22) Where Does the State Come from, and Where Will It Go?

Polities, the modern nation-state. Importance of war and capitalism in the formation of the state. Why Europe? Capital-nation-state (market-society-state) and three blueprints. Future of the state.

Shively, Chapter 3.

Tilly, Charles. 1985. "War Making and State Making as Organized Crime." In *Bringing the State Back In*, ed. Peter Evans, Dietrich Reuschemeyer, and Theda Skocpol. New York: Cambridge University Press.

Week 5 (09/24) First Midterm Examination

10:30 - 11:50 am in our classroom.

#### INTERMEZZO

#### Week 6 (09/29, 10/01) Three Research Traditions and Methods of Comparison

Structure, culture, and rationality. Importance of theory. Providing a "compelling story." Inferences. Testable implications. Single-case studies, comparative methods, and large-N studies. Sufficient and necessary conditions.

Shively, Appendix, and the section on "Political Science" in Chapter 1.

Landman, Todd. 2013. "How to Compare Countries." In *Issues and Methods in Comparative Politics: An Introduction*. London and New York: Routledge.

# PART II: DEMOCRACIES, DICTATORSHIPS, AND PEOPLE

#### Week 7 (10/06) What Is Democracy? Which Countries Are Democratic?

Competition and participation. Minimalist vs. maximalist definitions. Institutionalization of uncertainty. "Miracle" of democracy. Authoritarian regimes. Trend and pattern of political regimes around the world.

Shively, Chapter 7.

Przeworski, Adam, et al. 2000. "Democracies and Dictatorships." In *Democracy and Development: Political Regimes and Material Well-Being in the World, 1950-1990.* Cambridge: Cambridge University Press.

Week 7 (10/08) Conference

No class meeting.

#### Week 8-9 (10/13, 10/15, 10/20) Why and How Do Democracies Emerge and Endure?

Modernization theory. Structure vs. agency. Strategic interaction model. Top-down vs. bottom-up transitions Crisis-driven vs. non-crisis transitions. Mobilization curve. Tipping (threshold) model. Year 1989: "Autumn of Nations" in Eastern Europe vs. "Tiananmen solution" in China.

Shively, Chapter 7 (continue) and Chapter 13.

Przeworski, Adam, and Fernando Limongi. 1997. "Modernization: Theories and Facts." World Politics 49: 155-183.

## Week 9 (10/22) Does Culture Matter for Democracy?

Political socialization. Social capital. Is culture a dependent variable or independent variable? "Civic culture." Three views. Culture as a religiosity vs. distribution of answers vs. epiphenomenon.

Shively, Chapter 8.

## Week 10 (10/27) Second Midterm Examination

10:30 – 11:50 am in our classroom.

Week 10 (10/29) Fall Break

No class meeting.

## PART III: DEMOCRATIC INSTITUTIONAL DESIGN

## Week 11 (11/03) How Are the Elections Organized?

Constitutional design. Incentive compatibility. Unitary vs. federal states. Paradox of voting. Electoral participation. Majoritarian vs. proportional systems vs. mixed systems.

Shively, Chapter 9 and Chapter 10.

## Week 11-12 (11/05, 11/10) Where Do Parties Come from? Are They Indispensable?

"Iron law of oligarchy." Representation and accountability. Social cleavages and party systems. Lipset-Rokkan "freezing hypothesis." Duverger's law. Pluralism vs. neocorporatism. Extra-parliamentary politics. Collective action problem. Party politics vs. movement politics. Shively, Chapter 11 and Chapter 12.

# Week 12-13 (11/12, 11/17) How Are Governments Formed in a Democracy?

Executive-legislative relationships. Parliamentary vs. presidential vs. semi-presidential democracies. Cabinet formation. Coalitional politics. Perils of presidentialism? Majoritarian and consensus visions of democracy.

Shively, Chapter 14 and Chapter 15.

# PART IV. SOCIOECONOMIC POLICIES UNDER GLOBALIZATION

# Week 13-14 (11/19, 11/24) What Do Governments Do for Their People?

Size of the government. Fiscal and monetary policies. "Three worlds" of welfare capitalism. Logic of industrialism. Power of labor movement. Partisan model vs. political competition model. Median voter theorem.

Shively, Chapter 4.

Esping-Andersen, Gøsta. 1990. "The Three Political Economies of the Welfare States." In *The Three Worlds* of Welfare Capitalism. Princeton: Princeton University Press.

Week 14 (11/26) Thanksgiving Holiday

No class meeting.

# Week 15 (12/01) Why Are There "Miracles" and "Disasters"?

Why are some countries richer than others? Trend and pattern of economic growth. Import substitution vs. export-led industrialization. Rent-seeking. East Asian "miracle." Developmental state vs. predatory state. Is "Asian miracle" miracle? The rise of China *again*.

Shively, Chapter 5.

Evans, Peter. 1989. "Predatory, Developmental, and Other Apparatuses: A Comparative Political Economy Perspective on the Third World State." *Sociological Forum* 4(1): 561-587.

## Week 15 (12/03) Is Globalization Inevitable? Conclusion

History of globalization. Neoliberalism revisited. Socioeconomic policies in an era of financial globalization. Role of China in the world economy. Problems with "market society." Summary of the course. Importance of democratic politics revisited.

Shively, Chapter 5 and Chapter 18.

## Week 16 (12/07) Final Examination

10:00 – noon in our classroom.