

### **Reviewing a PM-11 Disclosure**

PM-11 disclosures are processed electronically through the LSU <u>GeauxGrants</u> system. Reviewers should use the following process to approve and route the form.

#### **Reviewer Notification**

As a supervisor, unit head, department chair, or dean, you will be asked to review and approve PM-11 disclosures from those you supervise. To review those submissions, you will receive an email from GeauxGrants with a link to the Conflict of Interest Reviewer Dashboard. Click through to access the information (see Figure 1).

😋 Reply	🛃 Reply All 🐋 Forward 📮 Save		🧼 P	revious	Next 🕻	>	Close	×		
From: C	adarette, Elizabeth Antolik			Recei	ved: 1	2-A	pr-201	19		
To: Dr. Department Head 1, Test the - LSUAM   Col of MDA   Music										
CC:										
Subject: PM11 level 1 review needed for (L1) Mike the Tiger										
(L1) Mike the Tiger has submitted a PM-11 disclosure which requires your review and recommendation. Please follow these instructions to complete your review:										
• Clic	Click to open the Reviewer Dashboard.									
• Clic	• Click the PM-11 Review link to open the Reviewer Form. (You do <b>not</b> need to open the Request link.)									
	<ul> <li>Click the Complete box in the upper right corner of the Reviewer Form to remove the check so the form is editable.</li> </ul>									
• Rev	• Review the information provided by the employee, in the first section of the Reviewer Form.									
• Red	Record your name in the box for <b>REVIEWER 1</b> .									
• Exp	Expand your review section by clicking the Show box next to your name.									
<ul> <li>Once done, note the certification text and click the Complete box in the upper right corner such that a check appears. This will confirm that all questions have been answered. (If an earlier reviewer's questions were left uncompleted, you can hide their section before clicking Complete.)</li> </ul>										
• Clo	Close the Reviewer Form.									
• On	On the Dashboard, click one of the decisions shown:									
	• Submit my review (if the next reviewer is to be notified, regardless of your personal recommendation)									
<ul> <li>Return to requester (if you feel that further reviews are inappropriate because the employee needs to provide more information; if this is your choice, please FIRST record your concerns/questions for the employee in one or more of the Dashboard comment boxes: the employee will not see the Reviewer Form.)</li> </ul>										
If you nee	d assistance, please contact Elizabeth Cadaret	te .								
	Sincerely, LSU GeauxGrants									
Please do not reply to this message. This email is an automated notification and unable to receive replies.										

Figure 1 - Review Notification Message

You may also see your list of review requests from the "Things To Do" menu (see Figure 2).



Figure 2 - Things To Do Menu Item

# **Reviewer Dashboard**

The reviewer dashboard provides links to the "PM-11 Request", the disclosure submitted by your employee, and the "PM-11 Review", the review form you will complete. Select PM-11 Review form (see Figure 3).

Form/Document	Document Type	Submitted	Tiger 12-Apr-2019 9:23:27 AM
PM-11 Request (View Only) (PM-11) PM-11 Review - PLEASE OPEN (PM-11)	PM-11 PM-11	12-Apr-2019 9:23:26 AM PDF 12-Apr-2019 9:23:26 AM	Action Item suppresse by configuration
Add Comments:		Select a decision:	
To be shared with everyone		Submit my Review/Recommenda	t
		Return to Requester	
	4		

Figure 3 - Reviewer Dashboard

# Read The Disclosure

To begin your review, make sure the "Complete" box is unchecked. You can then scroll down through the disclosure form to review what your employee has submitted.

150	Close Save Co	omplete	Print
	Make sure this box is unchecked.		
PM-11 DISCLOSURE OF	Opuateu By. rest me bepartm	ent Head 1 @ 12-Apr-20	19 09:30:22 AM
OUTSIDE EMPLOYMENT			
EMPLOYEE DISCLOSURE	PM-11 DISCLOSURE OF OUTSIDE EMPLOYMENT		
REVIEW 1 - DEPARTMENT			
CHAIR/HEAD/INSTITUTE	To continue your review and expose employee's answers, please un-check COMPLETE.		
DIRECTOR			
REVIEW 2 - DEAN/EXECUTIVE			
DIRECTOR			
REVIEW 3 - VICE PRESIDENT			
FOR RESEARCH & ECONOMIC	EMPLOYEE DISCLOSURE		
DEVELOPMENT	Employee Information		
REVIEW 4 - EXECUTIVE VICE	Employee Name: Tiger, (L1) Mike the		
PRESIDENT AND PROVOST	Title: Associate Professor Department: LSUAM I Division of Strategic Comm		
REVIEW 5 - PRESIDENT	Office Use 1		
ALL PAGES			
	Outside Employment Information		
	* Name of employer or business: Animal Control Office		
	* Time commitment required within the next 12 months 7 Saturdays in the Fall		
	Outside Employment Activity Information:		
	<ul> <li>* Proposed compensation to be received:</li> <li>\$15 per hour plus tips</li> </ul>		
	* Dates of proposed activity: Fall 2019		
	* Location: Baton Rouge		
	<ul> <li>Describe proposed activity:</li> <li>I will be searching and trapping rogue animals that enter the parish. I will be on the lookout for alligators, dogs, wild pigs, eagles and assorted demons.</li> </ul>		

Figure 4 - PM-11 Electronic Form

# **Provide Your Review**

Complete the appropriate review as unit head (level 1) or as dean (level 2). Indicate any responses to the six questions with which you disagree, and answer the four statements yes/no (see Figure 5)

			Save	Complete
REV	IEW 1 - DEPARTMENT CHAIR/HEAD/INSTITUTE DIRECTOR			
Iden	tification 1			
Rev	iewer 1 Name:			
India	cate any employee responses with which you <b>disagree</b> .			
	<ol> <li>My outside employment would be with an entity currently doing or actively seeking unit at the University.</li> </ol>	g to do business	with my	
	<ol> <li>My outside employment would involve teaching which results in University level cre University time or will utilize University property or services.</li> </ol>	edit, will be con	ducted on	
	3.My outside employment would involve my providing professional, personal, consu a department, commission, council, board, office, bureau, committee, institution, ag corporation, or any other establishment of the Executive Branch of the State of Louis	ency, governme	I services to ent,	
	<ol> <li>I am collaborating with or on special assignment to a unit within the University with doing or is seeking to do business.</li> </ol>	which the com	pany is	
	5.My outside employment would yield results which advance a theory or practice in	my field.		
	6.My outside employment would result in my receiving compensation to assist in the legislation during the fiscal year in which the legislation was pending in the legislature	e passage or de re.	feat of state	
* 7 duti	for <b>agree</b> with the following statements: The proposed duties ordinarily would be performed as part of the public service portions as and responsibilities. If $S = 0$ No.	ion of the emplo	yee's	
* 8	The proposed activity more appropriately would be accomplished by a contract throu as DNCD	igh the Universi	ty.	
mat emp	The legal entity for which the outside employment is proposed has substantial econor erially affected by the way in which the employee performs his or her duties and respo ployee. Is □ No□			
* 1	D.The outside employment involves public policy. Is □ No□			
Ye				
	iew 1: Please provide any needed details in support of your assessment.			

Figure 5 - Supervisor Review

### **Recommend and Certify**

Make a recommendation from the drop-down menu (1), certify your response (2), and make sure the "Complete" box is checked (3).

				Print
<ul> <li>* Please indicate whether you recommend or do not recommend outside employment:</li> <li>1. Recommended 2</li> <li>2.          <ul> <li>* As a reviewing administrator, I hereby certify that I have read and am familiar with the</li> </ul> </li> </ul>	3 e Louisiana Co	ode of	o lock review	
Governmental Ethics and that approval of this outside employment does not knowingly the Ethics, PM-11 or any other rule or regulation of the University.	violate the Co	ode of		
When you are finished with your review please check COMPLETE at the top of the page, clo and select a decision on the reviewer dashboard.	ose out the wi	indow,		

Figure 6 - Recommendation

#### Forward the Review

Close the review form and return to the Reviewer Dashboard (see Figure 7).

Add any additional comments, especially if you are returning the disclosure to the employee for their revision. Pay attention as to which message box you use, as the messages will be directed to different reviewers accordingly.



Figure 7 - Submit Recommendation

Click the "Submit my Review" button to advance the disclosure to the next level, or "Return to Requester" to send it back to the employee.

#### Finished

Congratulations, you're done.